## Probability Mapping and Bipartition Analysis to Study Genome Histories

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## Acknowledgements

Olga Zhaxybayeva:


HGT:
Lutz Hamel (URI)
Paul Lewis (UConn) Robert Blankenship (ASU) Jason Raymond (ASU) Ford Doolittle (Dalhousie) Jeffery Lawrence (Pittsburgh) Gary Olsen (Urbana)
Coalescence:
Andrew Martin (U of C Boulder) Joe Felsenstein (U of Wash)

Hyman Hartman (MIT) Yuri Wolf (NCBI)

NASA Exobiology Program NSF Microbial Genetics

## Trees as a Visualization of Evolution



Genealogy
(Church Ceiling,
Santo Domingo,
Oaxaca)


Lamarck's Tree of Life (1815)



Lebensbaum (German for
"Tree of Life") from
Ernst Haeckel, 1874

Archaed

## Genome Data Shake Tree of Life

New genome sequences are mystifying evolutionary biologists by revealing unexpected connection between microbes thought to have diverged hundreds of millions of years ago


Science, 280 p.672ff (1998)

## Publicly Available <br> Prokaryotic Genomes:

181 - completed
236 - in progress
(as of September 8, 2004)

## Transferred genes can

 be detected using:(a) unusual composition,
(b) the comparison between closely related species, or
(c) confilicting molecular phylogenies.

## From Bill Martin

 BioEssays 21 (2), 99-104.

## E. coli O157:H7 versus E. coli K12

- divergence about 4.5 million years ago
"We find that lateral gene transfer is far more extensive than previously anticipated. In fact, 1,387 new genes encoded in strain-specific clusters of diverse sizes were found in O157:H7."

Common: $\quad \mathbf{4 , 1 0 0 , 0 0 0} \mathrm{bp} ; \quad 3,574$ protein-coding genes (about 95\% identical each on the nucleotide level)

Only in O157:H7: 1,340,000 bp; $\mathbf{1 , 3 8 7}$ protein-coding genes
Only in K12: $\quad 530,000 \mathrm{bp}, 528$ protein-coding genes

From: Perna et al. (2001) Nature 409: 529-33
see also Hayashi et al. (2001) DNA Res. 8:11-22

Welch RA, et al.
Proc Natl Acad Sci U S A. 2002; 99:17020-4
Escherichia coli, strain CFT073, uropathogenic Escherichia coli, strain EDL933, enterohemorrhagic Escherichia coli K12, strain MG1655, laboratory strain,
"... only $39.2 \%$ of their combined (nonredundant) set of proteins actually are common to all three strains."


## What is an "organismal lineage" in light of horizontal gene transfer?

Over very short time intervals an organismal lineage can be defined as the majority consensus of genes.
This definition only "fails", if two organisms make co-equal contributions (e.g. endosymbiosis).

Rope as a metaphor to describe an organismal lineage (Gary Olsen)
Individual fibers = genes that travel for some time in a lineage.


While no individual fiber present at the beginning might be present at the end, the rope (or the organismal lineage) nevertheless has continuity.

However, the genome as a whole will acquire the character of the incoming genes (the rope turns solidly red over time).


## Genome Content Tree



Other genome content trees: Tekaia et al. (1999) Genome Res 9:550- 557; Snel et al. (1999) Nat Genet 21:108-110; Lin \& Gerstein (2000) Genome Res 10:808-818; Fitz-Gibbon \& House (1999) Nucleic Acids Res 27:4218-4222 and (2002) J Mol Evol 54:539-47; Charlebois et al. (2003) Nature 421:217; Wolf et al. (2001), BMC Evol. Biol 1:8


Same data as before, but network calculated using NeighborNet (David Bryant 2002, http://www.mcb.mcgill.ca/~bryant/NeighborNet/)

## Visualization of Mosaic Genome Content

## Bayes' Theorem

## Likelihood

describes how well the model predicts the


Reverend Thomas Bayes (1702-1761)

## Posterior <br> Probability

represents the degree
to which we believe a
given model accurately
describes the situation
given the available data
and all of our prior
information I

Prior
Probability
describes the degree to which we believe the model accurately describes reality based on all of our prior information.

## Elliot Sober's Gremlins



Observation: Loud noise in the attic

Hypothesis: gremlins in the attic playing bowling

Likelihood =
$P$ (noise|gremlins in the attic) very high

Posterior Probability =
$P$ (gremlins in the attic|noise) very low

## ML Mapping

 (Strimmer and von Haeseler, 1997)Data: Alignment of four sequences
Hypotheses: All possible unrooted tree topologies

$$
\mathrm{T}_{1}, \mathrm{~T}_{2}, \mathrm{~T}_{3}
$$

Prior: Equal Probabilities

For each set of 4 sequences:

- Calculate maximum-likelihood $\mathrm{L}_{\mathrm{i}}$ for each tree $\mathrm{T}_{\mathrm{i}}$
- Calculate posterior probabilities $p_{i}$ for each tree $T_{i}$
- Plot the point $\left(\mathrm{p}_{1}, \mathrm{p}_{2}, \mathrm{p}_{3}\right)$ into equilateral triangle


## Barycentric Coordinates

(August Ferdinand Möbius, 1827)


P : barycenter=center of gravity

For any point P inside the triangle, there exist masses $\mathrm{w}_{1}, \mathrm{w}_{2}, \mathrm{w}_{3}$ such that if placed at the corresponding vertices of the triangle, their center of gravity will coincide with point $P$.

Barycentric coordinates are defined uniquely for every point inside the triangle
(given that $w_{1}+w_{2}+w_{3}=1$ ) .

## ML Mapping

(Fig. modified from Strimmer)

$p_{1}, p_{2}$ and $p_{3}$ are barycentric coordinates of point $P$

## Data Flow



## TEST CASE

## Synechocystis $\quad$ R. palustris Rhodobacter Chlorobium

four taxa test case including:
-Synechocystis sp. (cyanobact.)
-Chlorobium tepidum (GSB)
-Rhodobacter capsulatus ( $\alpha$-prot)
-Rhodopseudomonas


## Inter-phylum relationships (bacteria) there is no obvious core

\#8: E.coli (1), D.radiodurans (2), B. subtilis (3), T.pallidum (4)


|  |  |  | $\# 8$ |  |
| :--- | :--- | ---: | ---: | ---: |
| Functional Categories of COGs : | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ |  |
| Information storage and process ing $\square$ | 23 | 28 | 25 |  |
| $\mathbf{J}$ | Translation, ribosomal structure and biogenesis | 15 | 22 | 15 |
| $\mathbf{K}$ | Transcription | 0 | 0 | 4 |
| $\mathbf{L}$ | DNA replication, recombination and repair | 8 | 6 | 6 |
| Cellular processes | 8 | 8 | 11 |  |
| $\mathbf{D}$ | Cell division and chromosome partitioning | 0 | 2 | 0 |
| $\mathbf{O}$ | Postranslational modification, protein turnover, chaperones | 4 | 2 | 4 |
| $\mathbf{M}$ | Cell envelope biogenesis, outer membrane | 3 | 3 | 1 |
| $\mathbf{N}$ | Cell motility and secretion | 1 | 1 | 5 |
| $\mathbf{P}$ | Inorganic ion transport and metabolism | 0 | 0 | 1 |
| $\mathbf{T}$ | Signal transduction mechanisms | 0 | 0 | 0 |
| Metabolis m | $\square$ | 7 | 8 | 7 |
| C | Energy production and conversion | 1 | 1 | 0 |
| $\mathbf{G}$ | Carbohydrate transport and metabolism | 2 | 2 | 3 |
| $\mathbf{E}$ | Amino acid transport and metabolism | 2 | 1 | 1 |
| F | Nucleotide transport and metabolism | 0 | 2 | 1 |
| $\mathbf{H}$ | Coenzyme metabolism | 2 | 1 | 2 |
| $\mathbf{I}$ | Lipid metabolism | 0 | 1 | 0 |
| Poorly characterized $\square$ | 5 | 3 | 6 |  |
| $\mathbf{R}$ | General function prediction only | 5 | 3 | 3 |
| $\mathbf{S}$ | Function unknown | 0 | 0 | 3 |



Tree \#2


Tree \#3


## Alternative Approaches to Estimate Posterior Probabilities

## Bayesian Posterior Probability Mapping with MrBayes

(Huelsenbeck and Ronquist, 2001)

## Problem:

Strimmer's formula

only considers 3 trees
(those that maximize the likelihood for
the three topologies)

Solution:
Exploration of the tree space by sampling trees using a biased random walk (Implemented in MrBayes program)

Trees with higher likelihoods will be sampled more often

$$
p_{i} \approx \frac{N_{i}}{N_{\text {total }}}
$$

$$
\text { ,where } N_{i} \text { - number of sampled trees of topology } i, i=1,2,3
$$

$$
N_{\text {total }} \text { - total number of sampled trees (has to be large) }
$$

## Illustration of a biased random walk



## Inter-phylum relationships (bacteria) there is no obvious core

\#8: E.coli(1), D.radiodurans(2), B.subtilis(3), T.pallidum(4)


P-vector with MrBayes Run\#1: Start of arrow P-vector with MrBayes Run\#2: Black dot at tip of arrow

## Comparing ML-mapping to Bayesian posterior probabilities

\#8: E.coli(1), D.radiodurans(2), B.subtilis(3), T.pallidum(4)


P-vector with ML-mapping: Start of arrow P-vector with MrBayes: Black dot at tip of arrow

## Alternative Approaches to Estimate Posterior Probabilities (2)

Bootstrap Support Values Mapping:
For each Quartet of Orthologous Proteins:

1) Create 100 bootstrapped samples
2) Evaluate three tree topologies for each of 100 samples
3) Construct bootstrap support values vector, i.e., percent of bootstrapped samples that have the highest likelihood value for each tree topology.

## Comparing ML-Mapping to Bootstrap Support Values

\#8: E.coli(1), D.radiodurans(2), B.subtilis(3), T.pallidum(4)


P-vector with ML-mapping: Start of arrow P-vector with Bootstrap: Black dot at tip of arrow

## Comparing Support Measures:

## $99 \% \approx 90 \% \approx 70 \%$

posterior probability calculated according to ML mapping
posterior probability
estimated using MCMC (MrBayes)
bootstrap
support

## Increasing Reliability

## Phylogenetic reconstruction

 becomes more reliable when more sequences are included.For each Quartet of Orthologous Proteins (QuartOP):

Quartet of orthologous proteins :

$\qquad$

- Add homologous sequences from completely sequenced genomes
- Align the new dataset
- Generate 100 bootstrap samples
- Reconstruct tree topologies
 for the topology of the "sub-tree" that contains QuartOP
- Extract bootstrap support values for the three possible "sub-trees"

100 trees
C


## COMPARISON OF DIFFERENT SUPPORT MEASURES

## A: mapping of posterior probabilities according to Strimmer and von Haeseler

B: mapping of bootstrap support values

C: mapping of bootstrap support values from extended datasets

## Inter-Domain Genome Comparisons

$\checkmark$ Synechocystis sp. - cyanobacterium
$\checkmark$ Thermotoga maritima - thermophilic bacterium
$\checkmark$ Aquifex aeolicus - thermophilic bacterium
$\checkmark$ Halobacterium sp. - salt-loving euryarchaeon

## ML Map

\#11: Synechocystis sp.(1),T.maritima(2),A.aeolicus(3),Halobacterium sp.(4)

$$
((1,3), 2,4)
$$

$$
45 / 34 / 27
$$

## ML Map

\#13: Synechocystis sp.(1), T.maritima(2), A.aeolicus(3), A.fulgidus(4)


## bootstrap values from extended datasets

A Synechocystis sp.(1), T.maritima(2), A.aeolicus(3), Halobacterium sp.(4)


B


A Synechocystis sp.(1), T.maritima(2), A.aeolicus(3), Halobacterium sp.(4)


B Synechocystis sp.(1), T.maritima(2), A.aeolicus(3), A.fulgidus(4)


Proteins in the information storage and processing category that group orthologs from Halobacterium with Synechocystis and Thermotoga with Aquifex (Topology \#3 - putative identification)

| Nucleotide | $>$ tRNA-pseudouridine synthase |  |
| :--- | :--- | :--- |
| modifying Enzymes | $>$ dimethyladenosine transferase |  |
|  | $>$ DNA mismatch repair protein |  |
| Enzymes involved | $>$ excision nuclease A,B,C chains (involved in DNA repair) |  |
| in DNA repair and | $>$ Endonuclease V (involved in DNA repair) |  |
| recombination | $>$ putative translation factor SUA5 |  |
|  | $>$ initiation factor IF2 |  |
| Enzymes | $>$ translation initiation factor eIF-2B subunit alpha |  |
| involved in | $>$ Glu-tRNA amidotransferase subunits A,B |  |
| translation | $>$ amino acyl tRNA Lynnthetases for |  |
|  |  | serine, valine, methionine, cysteine, proline, phenylalanine ( $\alpha$ SU) |
| Other | $>$ DNA gyrase subunits [A,B] |  |
|  |  | DNA helicase |

## NUMBER OF GENES PER CONFIDENCE LEVEL FOR DIFFERENT TYPES OF MAPPINGS

| Genome Quartet | 99\% posterior <br> probability | 90\% bootstrap <br> support from <br> non-extended <br> datasets | 90\% bootstrap <br> support from <br> extended <br> datasets |
| :--- | :---: | :---: | :---: |
| Interdomain quartet <br> consisting of Synechocystis <br> sp., Halobacterium sp., <br> Aquifex aeolicus and <br> Thermotoga maritima. | 95 | 42 | 33 |
| Interdomain quartet <br> consisting of Synechocystis <br> sp., Archaeoglobus fulgidus, <br> Aquifex aeolicus and <br> Thermotoga maritima. | 99 | 42 | 41 |
| Interphylum quartet of <br> Synechocystis sp., <br> Chlorobium tepidum, <br> Rhodobacter capsulatus and <br> Rhodopseudomonas <br> palustris. | 327 | 291 | 319 |

## Extension of Mapping to Five Genomes



23S rRNA tree depicting the major bacterial phyla
(from Bergey’s Manual of Systematic Bacteriology, 2 ${ }^{\text {nd }}$ Ed.)

## Distribution of orthologs among 15 possible trees



188 datasets of orthologous genes
Raymond, J., Zhaxybayeva, O., Gogarten, J.P., Gerdes, S., Blankenship, R.E.: Whole Genome Analysis of Photosynthetic Prokaryotes. Science 2002, 298: 1616-1620.

## CALCULATION OF THE CENTER OF GRAVITY OF THE DEKAPENTAGON Illustration of the principle





## Extension of the analyses to more than five genomes

## PROBLEM:

Number of possible unrooted tree topologies is equal to $(2 n-5)!\left[2^{n-3}(n-3)!\right]$
$\Rightarrow$ Polygon becomes a circle
$\Rightarrow$ Many topologies are not supported by data

## SOLUTION:

Switching from topologies to bipartitions of data

## BIPARTITION PLOTS

 (Modified Lento Plots)
## BIPARTITION OF A PHYLOGENETIC TREE

Bipartition - a division of a phylogenetic tree into two parts that are connected by a single branch. It divides a dataset into two groups, but it does not consider the relationships within each of the two groups.


Number of bipartitions for $N$ genomes is equal to $2^{(N-1)}-N-1$.

## WHY BIPARTITIONS?

1. The number of possible bipartitions is much smaller than number of possible tree topologies, which makes it possible to evaluate all possible partitions.
2. Analyses of bipartitions allows to consider datasets that otherwise would be considered as non-informative due to lack of resolution in one or the other part of the tree.
3. Putatively horizontally transferred genes can be detected because they give rise to partitions significantly conflicting with plurality partitions.

## Example of bipartition

 analysis for five genomes of photosynthetic bacteriaR: Rhodobacter capsulatus,
H: Heliobacillus mobilis,
S: Synechocystis sp.,
Ct: Chlorobium tepidum,
Ca: Chloroflexus aurantiacus

## Bipartitions supported by

 genes from chlorophyll biosynthesis pathway

10 bipartitions


Zhaxybayeva, Hamel, Raymond, and Gogarten, Genome Biology 2004, 5: R20


| Gene | Plurality Partition |  |  |
| :---: | :---: | :---: | :---: |
| BchB | $\begin{gathered} 0 \\ 0 \\ 0 \\ 0 / 0 / 0 \end{gathered}$ | 100 100 100 $100 / 100 / 100$ |  |
| BchD | $\begin{gathered} 4 \\ 2 \\ 19 \\ 0 / 0 / 0 \end{gathered}$ | $\begin{array}{r} 74 \\ 76 \\ 24 \\ \hline 98 / 98 / 98 \\ \hline \end{array}$ | 100 99 100 $100 / 100 / 100$ |
| BchH | $\begin{gathered} 0 \\ 0 \\ 0 \\ 0 / 0 / 0 \end{gathered}$ | 100 <br> 100 <br> 100 <br> $100 / 100 / 100$ | 100 <br> 100 <br> 98 <br> $100 / 100 / 100$ |
| BchI | $\begin{gathered} 0 \\ 2 \\ 5 \\ 2 / 1 / 2 \end{gathered}$ | $\begin{gathered} 11 \\ 9 \\ 20 \\ 0 / 0 / 0 \end{gathered}$ | 98 93 70 $100 / 100 / 100$ |
| BchL | $\begin{gathered} 0 \\ 0 \\ 0 \\ 0 / 0 / 0 \end{gathered}$ | 18 33 89 $73 / 75 / 74$ |  |
| BchN | $\begin{gathered} 0 \\ 0 \\ 0 \\ 0 / 0 / 0 \end{gathered}$ | 100 99 100 $100 / 100 / 100$ | $\begin{gathered} 3 \\ 6 \\ 100 \\ 6 / 5 / 6 \end{gathered}$ |TREE-PUZZLE Distances/NJ tree TREE-PUZZLE Distances/FITCH tree Parsimony with bootstrap MrBayes ( 3 independent runs)

# From Gene Trees to Organismal Phylogeny in Prokaryotes: <br> The Case of the $\gamma$-Proteobacteria 

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"Lento"-plot of 35 supported bipartitions (out of 4082 possible)


Consensus cluster of significantly supported bipartitions


Phylogeny of virulence factor homologs (mviN)


## Case of Cyanobacteria

Based on 16S rRNA:
-13 gamma proteobacteria have up to 19.8\% sequence divergence, -10 cyanobacteria are at most 14\% divergent.

> -Anabaena sp.
-Trichodesmium erythraeum

- Synechocystis sp.
- Prochlorococcus marinus (3 strains)
- Marine synechococcus
-Thermosynechococcus elongatus
-Gloeobacter violaceus
- Nostoc punctioforme

There are 678 orthologous genes detected by the reciprocal hit scheme.

## "Lento"-plot of 51 supported bipartitions (out of 501 possible)

10 cyanobacteria:

- Anabaena
-Trichodesmium
- Synechocystis sp.
-Prochlorococcus
marinus
(3 strains)
- Marine

Synechococcus
-Thermo-
synechococcus
elongatus
-Gloeobacter

- Nostoc
punctioforme


Consensus cluster of significantly supported bipartitions


The phylogeny of ribulose bisphosphate carboxylase large subunit

## Other genes in conflict with the consensus at >=99\% bootstrap support:

* cell division protein FtsH,
* translation initiation factor IF-2,
* ferredoxin, petF
* geranylgeranyl hydrogenase, chlP
* amidophosphoribosyltransferase,
\& photosystem II reaction center core protein D2, psbD
\& photosystem II CP43 core antenna protein, psbC
\& photosystem II CP47 core antenna protein, psbB
* photosystem I reaction center core protein A2, psaB
* photosystem I reaction center core protein A1, psaA
\& photosystem II manganese-stabilizing protein, psbO
\& 5'-methylthioadenosine phosphorylase.


## Transfer of photosynthesis genes to and from Prochlorococcus viruses

Photosynthetic genes found in Prochlorococcus phages:

- PSII core reaction center protein D1 (psbA)
- PSII core reaction center protein D2 (psbD)
- ferredoxin (petF)
- plastocyanin (petE)
- HLIP cluster 14-type protein (hli14 - high light inducible protein)


## CONCLUSIONS I

- Genomes are mosaic
- Support value mapping is a useful tool to dissect mosaic genomes
- While ML mapping can provide a quick assessment of genome mosaicism, it grossly overestimates reliability
- Analyzing extended datasets using embedded subtrees solves the problems associated with taxon sampling without sacrificing the visually appealing graphical representation


## CONCLUSIONS II

- Bipartition plots are a useful tool for comparative genome analyses. They allow to identify the plurality consensus cluster of genes contained in genomes as well as genes that conflict with the plurality consensus.
- In many instances majority or at least plurality signals are obtained from the analysis of individual genes.
- Sometimes clade-defining characteristics are among the genes that are transferred. E.g., for photosynthetic bacteria: plurality consensus phylogeny of genes $\neq$ phylogeny of the chlorophyll biosynthetic enzymes.




| supported quartets | 1345 |
| :---: | :--- |
| Q1 $\cap$ Q2 $=$ |  |
| $73456,1456,2456,2356$, |  |
| $1356,1256,1236,1246$, |  |
| $1346,2346,2345,1345$, |  |
| $1245,1235,1234\}$ | 7245 |
| supported bipartitions: | 1245 |
| B1 $\cap \mathrm{B} 2=\varnothing$ | 1745 |
|  | 1725 |
|  | 1235 |
|  | 7235 |
|  | 7234 |
|  | 1234 |
|  | 1734 |
|  | 1724 |
|  | $1723\}$ |

Illustration of a topology where quartet analyses are more useful than bipartition analyses

## FUTURE RESEARCH

"Replace" bipartitions with Embedded Quartets in spectral analyses

+ Gene families that are not represented in all genomes can be included
+ adding more sequences does not deteriorate support values
+ a single "rogue" sequence does not erase all of the captured phylogenetic information

